

The Patriot Capital team made the collective decision to strengthen our commitment to our investors, our partner portfolio companies and other stakeholders by adopting a formal strategy on Responsible Investing as it relates to our funds. To memorialize this commitment and promote further acceptance of these principals across the investment community, Patriot became a signatory to the United Nations' Principles for Responsible Investment ("PRI").

Patriot's Responsible Investing commitment is centered around the following framework of values:

Culture

We seek to partner with and support management teams that strive to be an employer of choice and uphold standards related to hiring, compensation, training and development, and employee health, safety, and engagement

Compliance

We aim to work with companies that are a steward of their physical, human, environmental, and digital resources and operate with strong internal controls and compliance

Community

We aspire to support companies that are an active member of the communities in which they operate and seek to be representative of that community within its workforce. We look to work with companies that have an established history of community involvement and philanthropy or that may have a defined social benefit that is core to the company's mission.

Patriot's commitment to Responsible Investing is embedded in our full investment process - from initial opportunity screening, to underwriting and finally to active portfolio management. The framework outlined above was determined from a comprehensive survey of our entire team and is reflective of the values we collectively share and prioritize. Using these values, we developed an internal Responsible Investing methodology to govern how we screen, monitor, and manage our Responsible Investing commitment.

Patriot commits to providing our stakeholders with aggregate annual reporting from our Responsible Investing framework, which will be publicly available on Patriot's website.

It is our sincere hope that our commitment to Responsible Investing can foster a dialogue around the importance of these issues and ultimately drive positive impact and change both within our portfolio and across the broader investment community.